

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MINNESOTA**

_____	)	
THRIVENT FINANCIAL FOR	)	
LUTHERANS,	)	
	)	
<i>Plaintiff,</i>	)	
	)	Civil Action No. 16-cv-03289-SRN-
v.	)	DTS
	)	
R. ALEXANDER ACOSTA, Secretary of	)	
Labor, and UNITED STATES	)	
DEPARTMENT OF LABOR,	)	
	)	
<i>Defendants.</i>	)	
_____	)	

**NOTICE OF ADMINISTRATIVE ACTION**

Defendants, the United States Department of Labor and R. Alexander Acosta, Secretary of Labor (collectively, the “Department”), hereby notify the Court that on August 9, 2017, the Department submitted to the Office of Management and Budget (“OMB”) proposed amendments to three exemptions, entitled:

Extension of Transition Period and Delay of Applicability Dates From January 1, 2018, to July 1, 2019; Best Interest Contract Exemption (PTE 2016-01); Class Exemption for Principal Transactions in Certain Assets Between Investment Advice Fiduciaries and Employee Benefit Plans and IRAs (PTE 2016-02); Prohibited Transaction Exemption 84-24 for Certain Transactions Involving Insurance Agents and Brokers, Pension Consultants, Insurance Companies, and Investment Company Principal Underwriters (PTE 84-24).

Notification of the submission becomes publicly available the morning after submission.

See OMB, List of Regulatory Actions Currently Under Review,

<https://www.reginfo.gov/public/jsp/EO/eoDashboard.jsp> (to be updated around 8.00am

EST, August 10, 2017). This step provides for interagency review in preparation for publication in the Federal Register.

Dated: August 9, 2017

Respectfully submitted,

Of Counsel:

BRETT A. SHUMATE  
Deputy Assistant Attorney General

NICHOLAS C. GEALE  
Acting Solicitor

GREGORY G. BROOKER  
Acting United States Attorney

G. WILLIAM SCOTT  
Associate Solicitor

JUDRY L. SUBAR  
Assistant Director  
Civil Division, Federal Programs Branch

EDWARD D. SIEGER  
Senior Attorney

/s/ Galen N. Thorp  
GALEN N. THORP (VA Bar # 75517)  
EMILY NEWTON (VA Bar # 80745)  
Trial Attorneys  
United States Department of Justice  
Civil Division, Federal Programs Branch  
20 Massachusetts Ave. NW, Room 6140  
Washington, D.C. 20530  
Tel: (202) 514-4781 / Fax: (202) 616-8460  
galen.thorp@usdoj.gov  
emily.s.newton@usdoj.gov

THOMAS TSO  
Counsel for Appellate and Special  
Litigation

MEGAN HANSEN  
Attorney for Regulations  
United States Department of Labor  
Office of the Solicitor

*Counsel for Defendants*